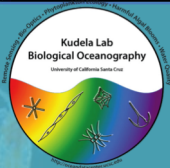


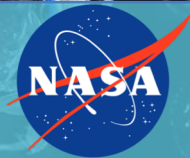
# The Potential of High-Fidelity Spatial, Spectral, Temporal, and Radiometric Sensors to Advance Aquatic Remote Sensing Beyond Chlorophyll



**Raphael Kudela, U. California Santa Cruz**

Liane Guild, Sherry Palacios; NASA Ames  
Melissa Peacock, Northwest Indian College  
NASA SARP Participants

(Kim Dawid, Tyler Dawson, Emma Accorsi, David Westerberry)



TARGETED OBSERVABLE	SCIENCE/APPLICATIONS SUMMARY	CANDIDATE MEASUREMENT APPROACH	Designated	Explorer	Incubation
Aerosols	<b>Aerosol properties, aerosol vertical profiles, and cloud properties</b> to understand their direct and indirect effects on climate and air quality	Backscatter lidar and multi-channel/multi-angle/polarization imaging radiometer flown together on the same platform	X		
Clouds, Convection, & Precipitation	<b>Coupled cloud-precipitation state and dynamics</b> for monitoring global hydrological cycle and understanding contributing processes	Radar(s), with multi-frequency passive microwave and sub-mm radiometer	X		
Mass Change	<b>Large-scale Earth dynamics</b> measured by the changing mass distribution within and between the Earth's atmosphere, oceans, ground water, and ice sheets	Spacecraft ranging measurement of gravity anomaly	X		
Surface Biology & Geology	<b>Earth surface geology and biology</b> , ground/water temperature, snow reflectivity, active geologic processes, vegetation traits and algal biomass	Hyperspectral imagery in the visible and shortwave infrared, multi- or hyperspectral imagery in the thermal IR	X		
Surface Deformation & Change	<b>Earth surface dynamics</b> from earthquakes and landslides to ice sheets and permafrost	Interferometric Synthetic Aperture Radar (InSAR) with ionospheric correction	X		
Greenhouse Gases	<b>CO<sub>2</sub> and methane fluxes and trends</b> , global and regional with quantification of point sources and identification of source types	Multispectral short wave IR and thermal IR sounders; or lidar**		X	
Ice Elevation	<b>Global ice characterization</b> including elevation change of land ice to assess sea level contributions and freeboard height of sea ice to assess sea ice/ocean/atmosphere interaction	Lidar**		X	
Ocean Surface Winds & Currents	<b>Coincident high-accuracy currents and vector winds</b> to assess air-sea momentum exchange and to infer upwelling, upper ocean mixing, and sea-ice drift.	Radar scatterometer		X	

***“Thriving on our Changing Planet”***

**Ecosystem Change**

**Surface  
Biology &  
Geology**

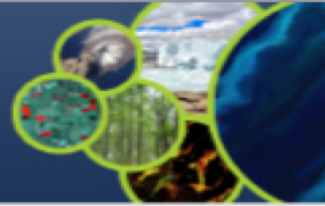
**“...and algal  
biomass”**

**NO! Don't stop there!**

*Decadal Survey 2017*



# What do Managers Need from Optical Remote Sensing in Aquatic Ecosystems?



- Status, Condition and Trend & Anomalies:
  - **Status (survey, classify and map)**
    - what is where? (=99% of current remote sensing effort)
      - (is it absent when it should be present) or
      - (is it present when it should be absent?)
  - **Condition:**
    - is it healthy?, is it stable?
    - Is it stressed?
  - **Trend:**
    - Is it getting worse or is it improving?
      - Remote Sensing can do hind casting and now casting
      - Model data fusion and data assimilation needed for forecasting
  - **Anomalies:**
    - Normal (to be expected) or exceptional (indicating exceptional change from before? E.g. climate change indication?)

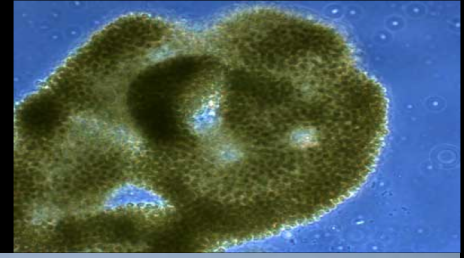
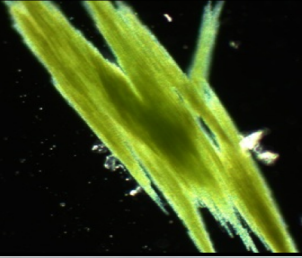
EBV class	EBV	Habitat type								
		Wetland vegetation	Benthic communities			Pelagic organisms				
		Mangrove/salt marsh	Seagrass	Macroalgae	Coral	Phytoplankton	HAB		Fish, Zoo-plankton	Apex predator
Genetic composition	Population genetic diversity									
Species populations	Distribution									
	Abundance									
	Size/vertical distribution									
Species traits	Pigments								NA	NA
	Phenology									
Community composition	Taxonomic diversity									
Ecosystem structure	Functional type									
	Fragmentation/heterogeneity									
Ecosystem function	Net primary production								NA	
	Net ecosystem production						NA		NA	NA

Phytoplankton Food Quality Index  
PFTs to Identify HABs

Legend
Unproven
Demonstrated limited cases
Routine use
Habitat model required

Phytoplankton Food Quality Index  
PFTs to Identify HABs

Optically similar genera are functionally different  
(toxic vs. non-toxic, type of toxins, etc)



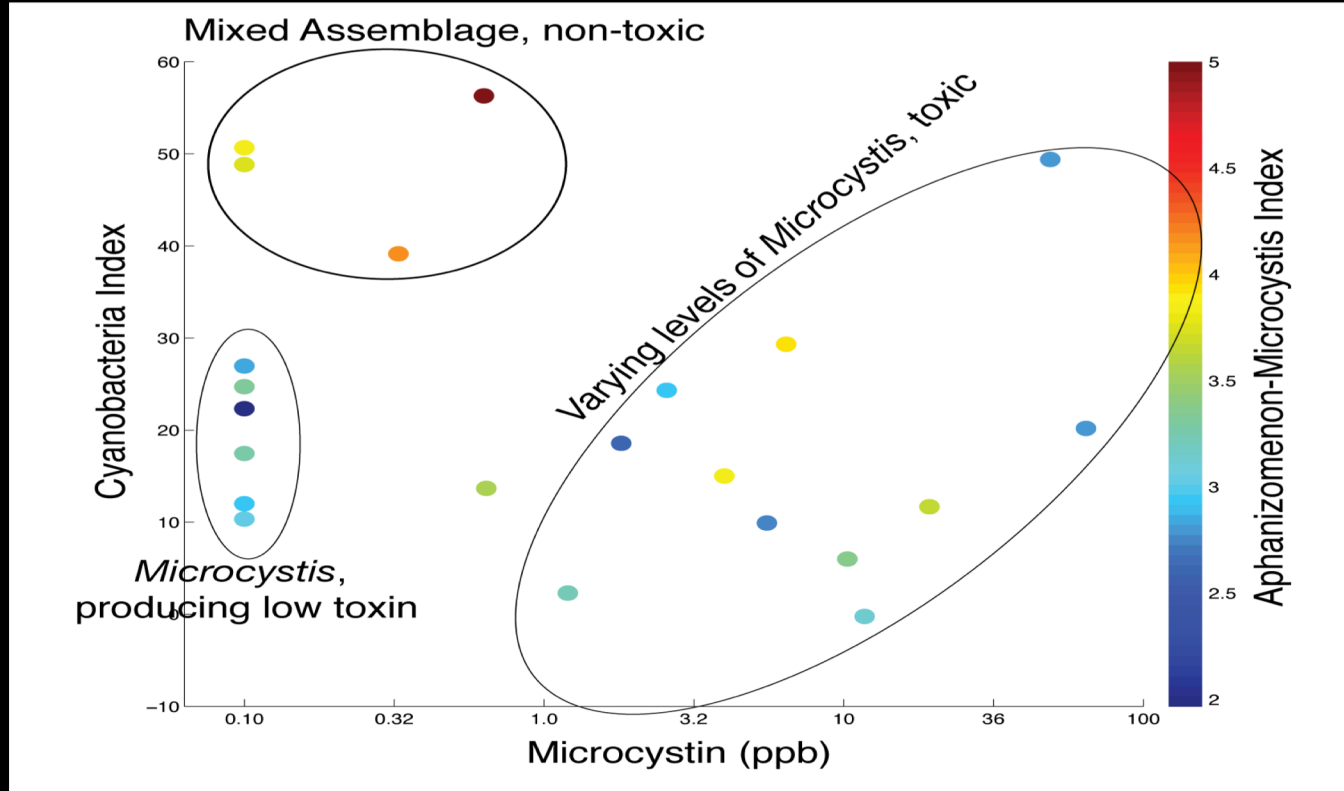
*Aphanizomenon flos-aquae*



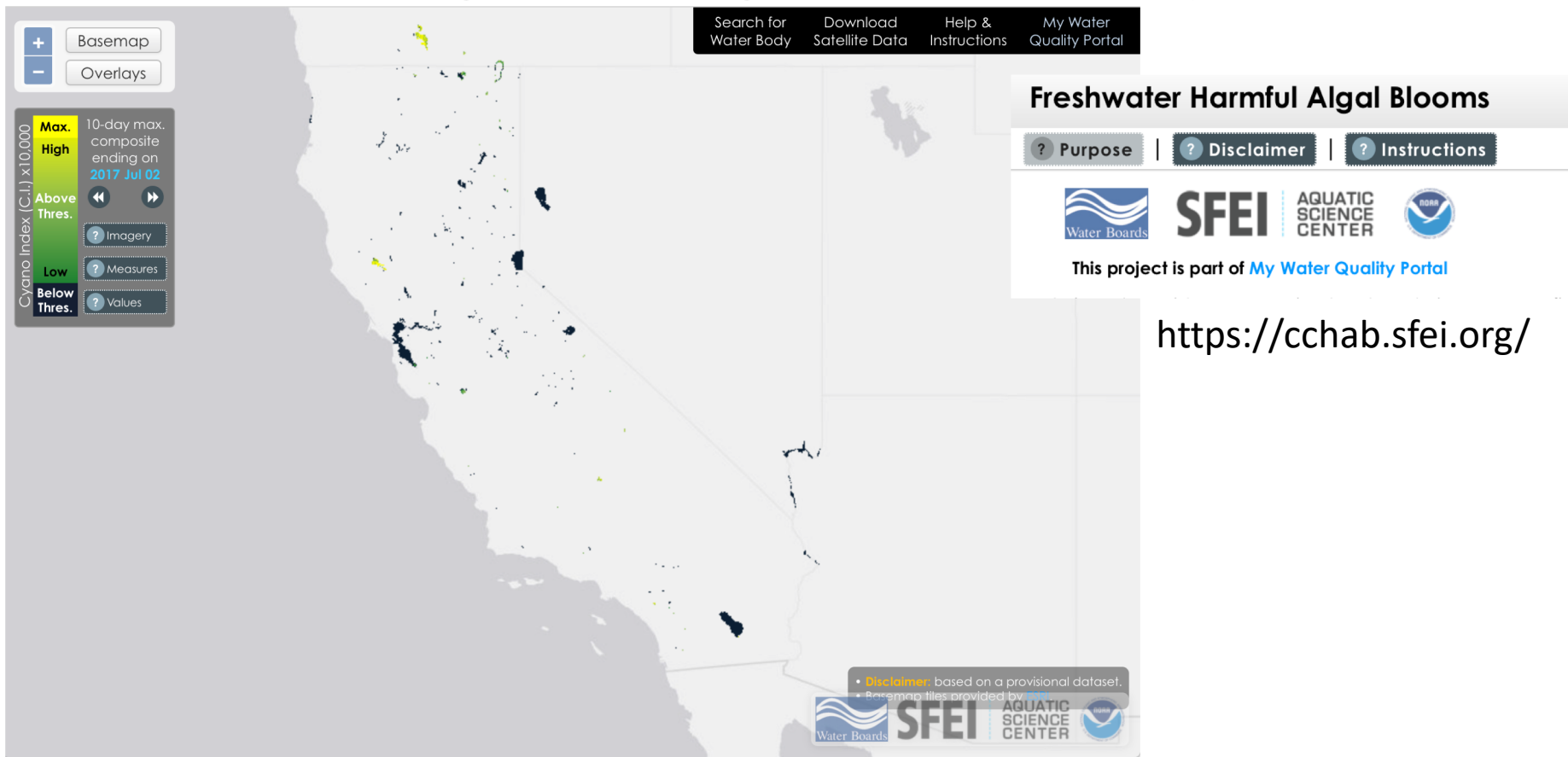
*Microcystis* spp.



# Predicting Toxic Blooms

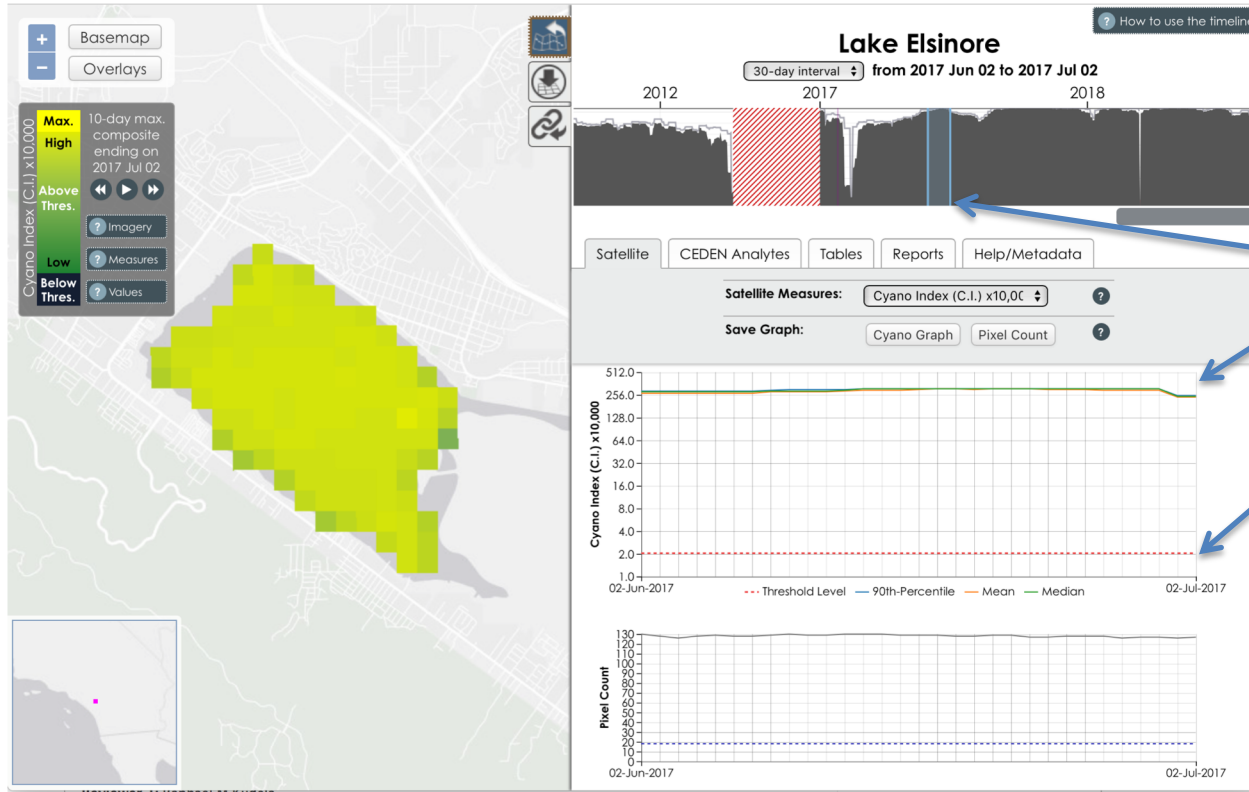


# Scaling to Regional Analyses



<https://cchab.sfei.org/>

# Scaling to Regional Analyses



Above threshold for all of 2017 (and 2018)

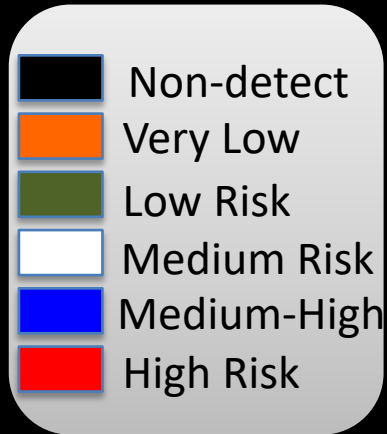
30-day window, June 2, 2017

Threshold for concern



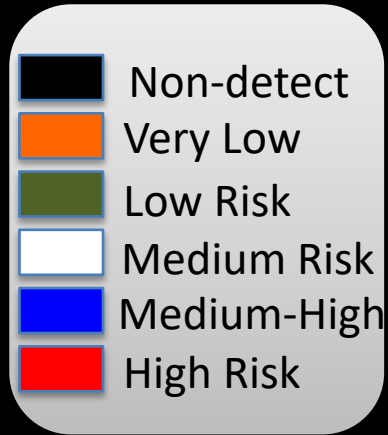
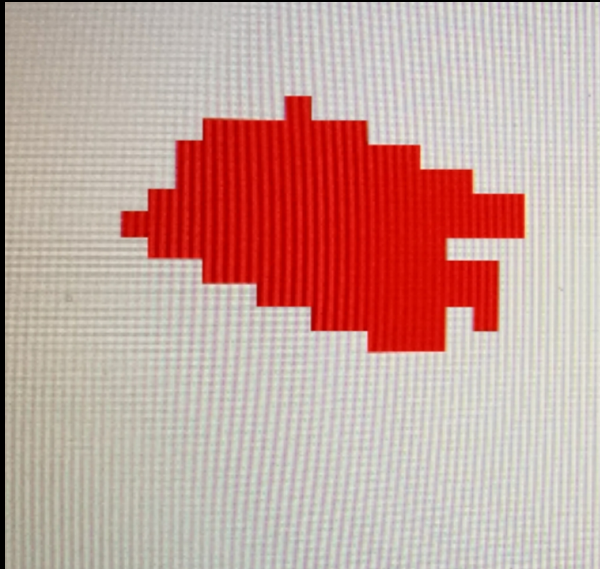
# Lake Elsinore CA, June 2, 2017

AVIRIS + MASTER → L8 OLI + S3A/OLCI → Toxin Index



Reported Toxins: <1.5 ppb

# Lake Elsinore CA, June 28, 2017



Reported Toxins: >10,000 ppb



M  
In the US  
of death

"All the News  
That's Fit to Print"

# The New York Times

National Edition

Clouds and sunshine. Highs in upper 80s to 90s. Mostly cloudy west tonight. Clear east. Lows in 60s to mid-70s. Thunder showers tomorrow. Details, Sports Sunday, Page 10.

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## Russian Sought Potent Friends Beyond N.R.A.

Scions and Lawmakers  
Among Connections

This article is by Matthew Rosenberg, Mike McIntire, Michael LaForge, Andrew E. Kramer and Elizabeth Dias.

WASHINGTON — Twelve days after a young Russian gun-rights activist gained access to some of America's most prominent conservatives, at an elegant dinner near the Capitol, a Republican operative was eager to keep the momentum going.



Maria Butina

In a February 2017 email, the operative, Paul Erickson, proposed another "U.S./Russia friendship" dinner. He noted that the activist, Maria Butina, who now is accused of being a covert Russian agent, was making an "ever-expanding circle of influential friends."

Ms. Butina, he wrote in the email, had just met Susan Eisenhower, the granddaughter of President Dwight D. Eisenhower, during a visit to Gettysburg College in Pennsylvania. The Russian woman had also gotten to know the ex-wife of a supermarket heir, who had endowed an institute dedicated to furthering American-Russian relations, and the "silky smooth" former Russian diplomat who ran it

## THE CONSEQUENCES OF INACTION



GEORGE STENMETZ FOR THE NEW YORK TIMES

This week's issue of The New York Times Magazine is dedicated to a single article, "Losing Earth" by Nathaniel Rich, which chronicles the early efforts of scientists, activists and politicians to raise the alarm about the dangers of climate change, and shows how close they came to solving it.

Above, Lake Tai, China, where global warming has helped algae blooms to flourish.

## DATA ON MOTHERS REVEAL SCHISMS ACROSS AMERICA

EDUCATION A TOP GAUGE

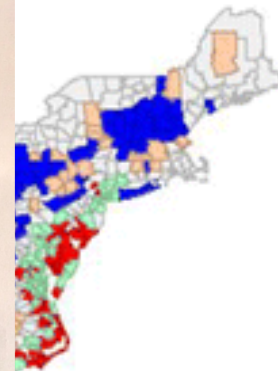
Children's Opportunities  
Can Hinge on the  
Mothers' Ages

By QUOCTRUNG BUI  
and CLAIRE CAIN MILLER

Becoming a mother used to be seen as a unifying milestone for women in the United States. But a new analysis of four decades of births shows that the age that women become mothers varies significantly by geography and education. The result is that children are born into very different family lives, heading for diverging economic futures.

First-time mothers are older in big cities and on the coasts, and younger in rural areas, the Great Plains and the South. In New York and San Francisco, their average age is 31 and 32. In Todd County, S.D., and Zapata County, Tex., it's half a generation earlier, at 20 and 21, according to the analysis, which was of all birth certificates in the United States since 1985 and nearly all for the five years prior. It was conducted for The New York Times by Caitlin Myers, an economist who studies reproductive policy at Middlebury College, using data from the National Center for Health Statistics.

The difference in when women start families cuts along many of

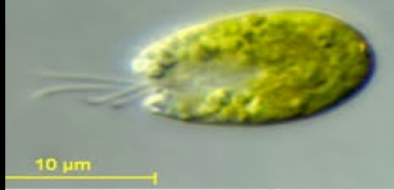


4:41 DOI

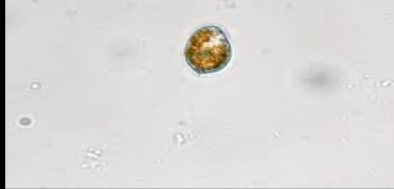




Prasinophytes



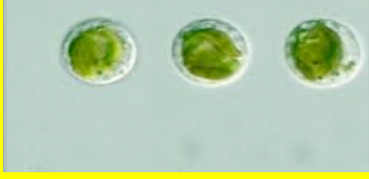
Raphidophytes



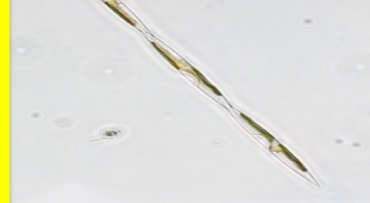
Chlorophytes



Chrysophytes



Diatoms



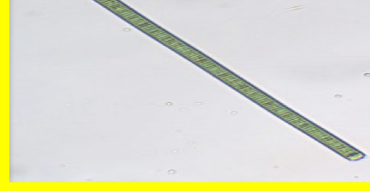
Dinoflagellates



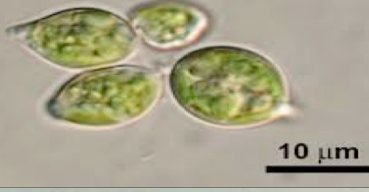
Cryptophytes



Cyanobacteria



Eustigmatophytes



Euglenophytes

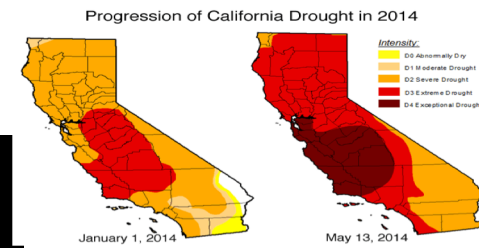


# Phytoplankton Food Quality Index

## PHYDOTax (PFT algorithm)

- Each PFT assigned a nutritional value
- Values based on evolutionary traits
- Good correspondence between microscopy, HPLC, and PHYDOTax for PFTs

# San Francisco Bay Salt Ponds: 2013



RED: Dinoflagellate

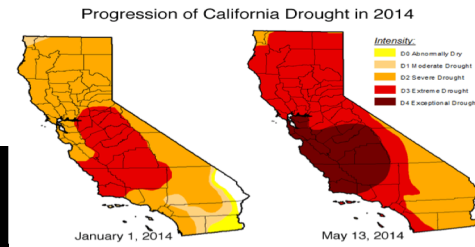
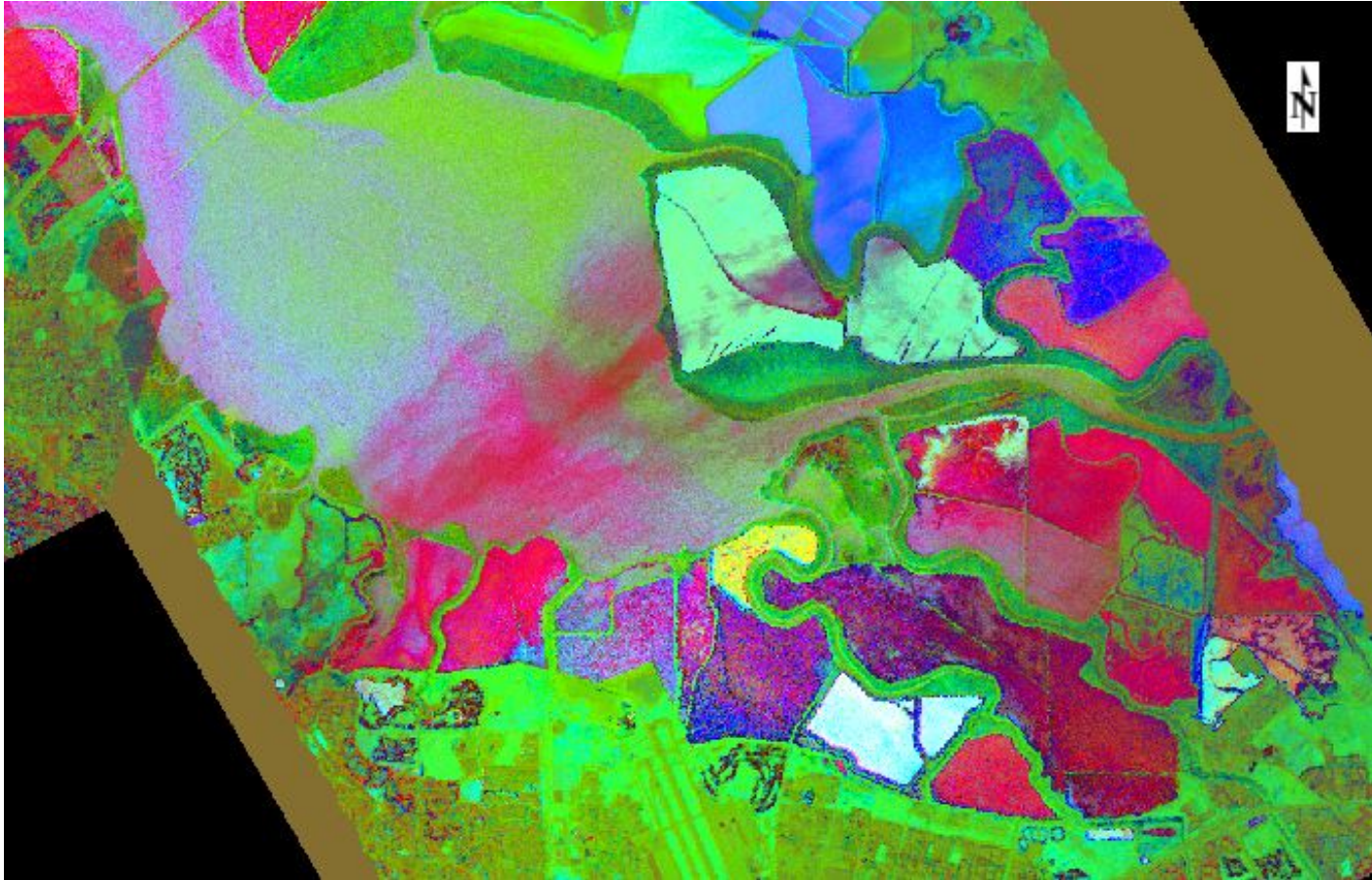
GREEN: Chlorophyte

BLUE: Cyanobacteria

Tyler Dawson  
Sherry Palacios  
HyspIRI Data



# San Francisco Bay Salt Ponds: 2014



RED: Dinoflagellate

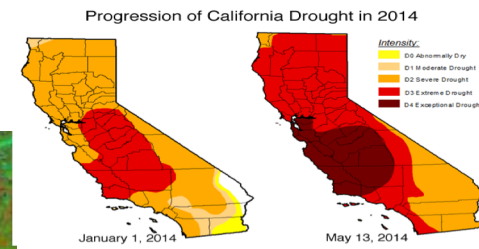
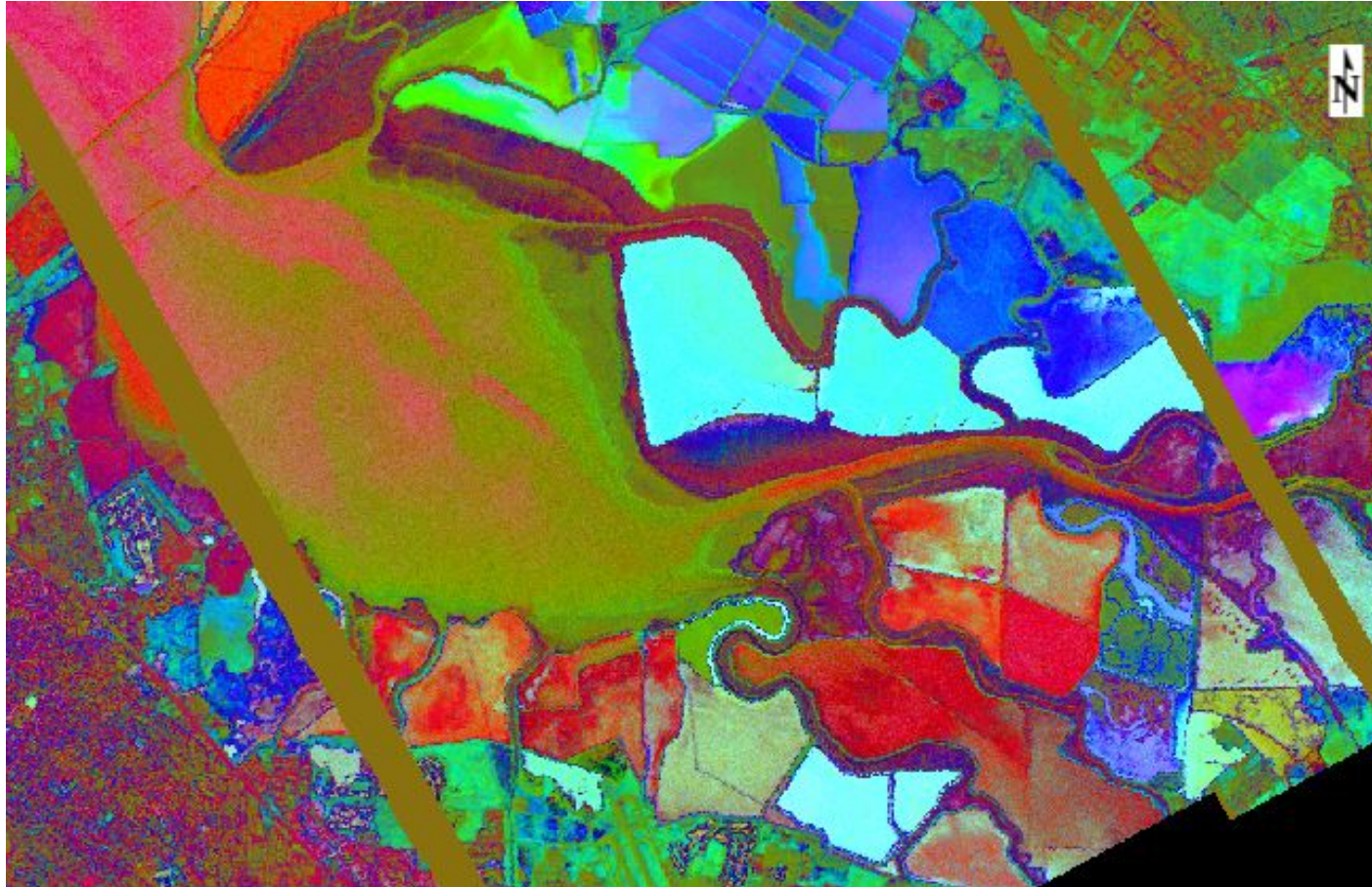
GREEN: Chlorophyte

BLUE: Cyanobacteria

Tyler Dawson  
Sherry Palacios  
HyspIRI Data



# San Francisco Bay Salt Ponds: 2015



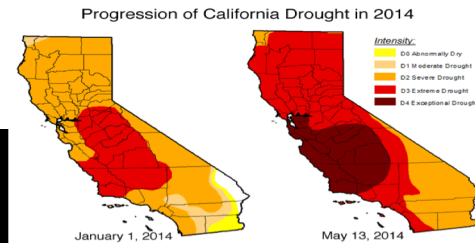
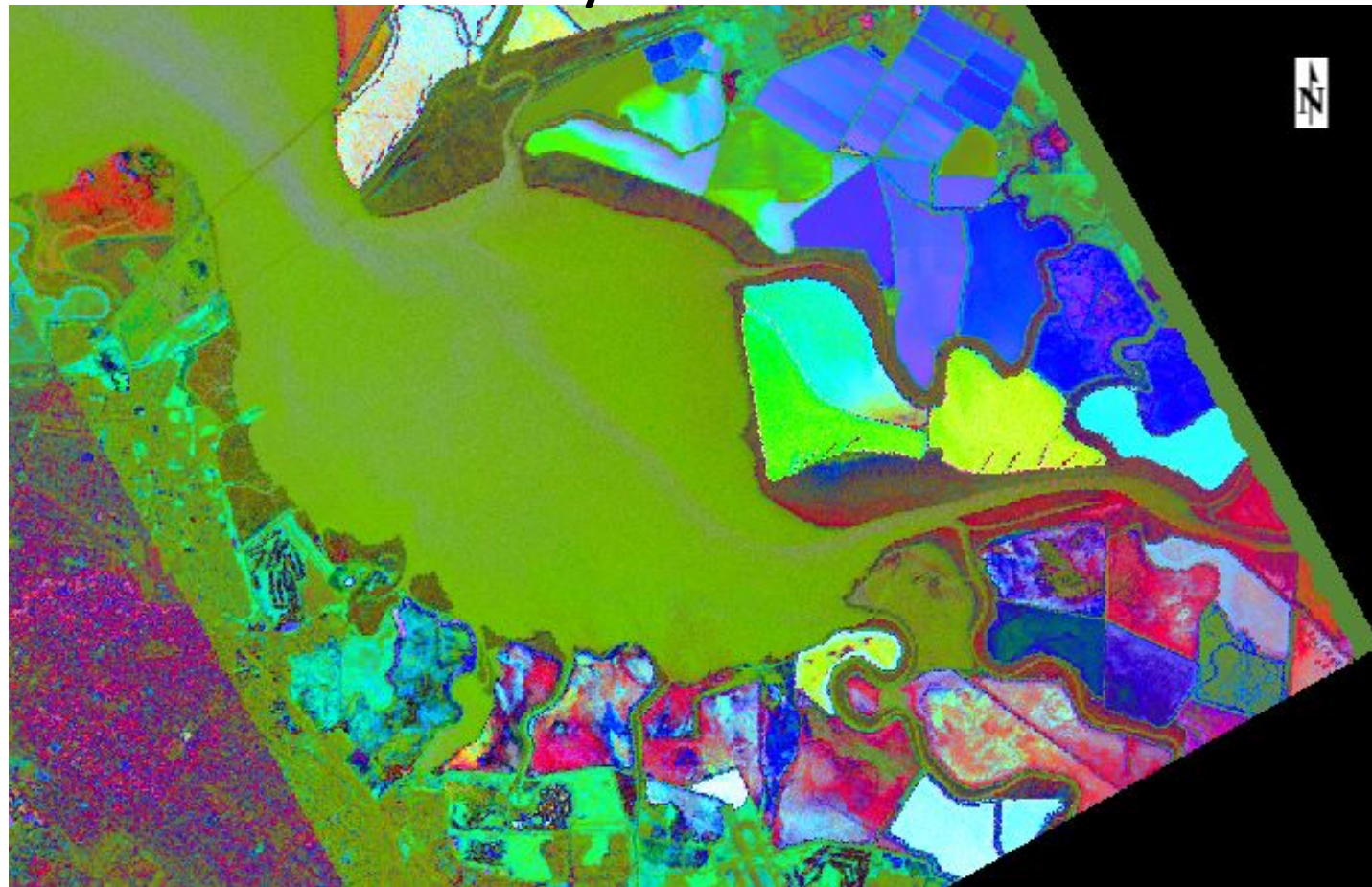
RED: Dinoflagellate

GREEN: Chlorophyte

BLUE: Cyanobacteria

Tyler Dawson  
Sherry Palacios  
HyspIRI Data

# San Francisco Bay Salt Ponds: 2016



RED: Dinoflagellate

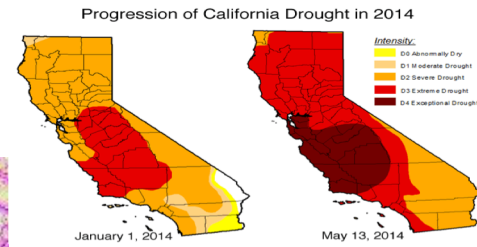
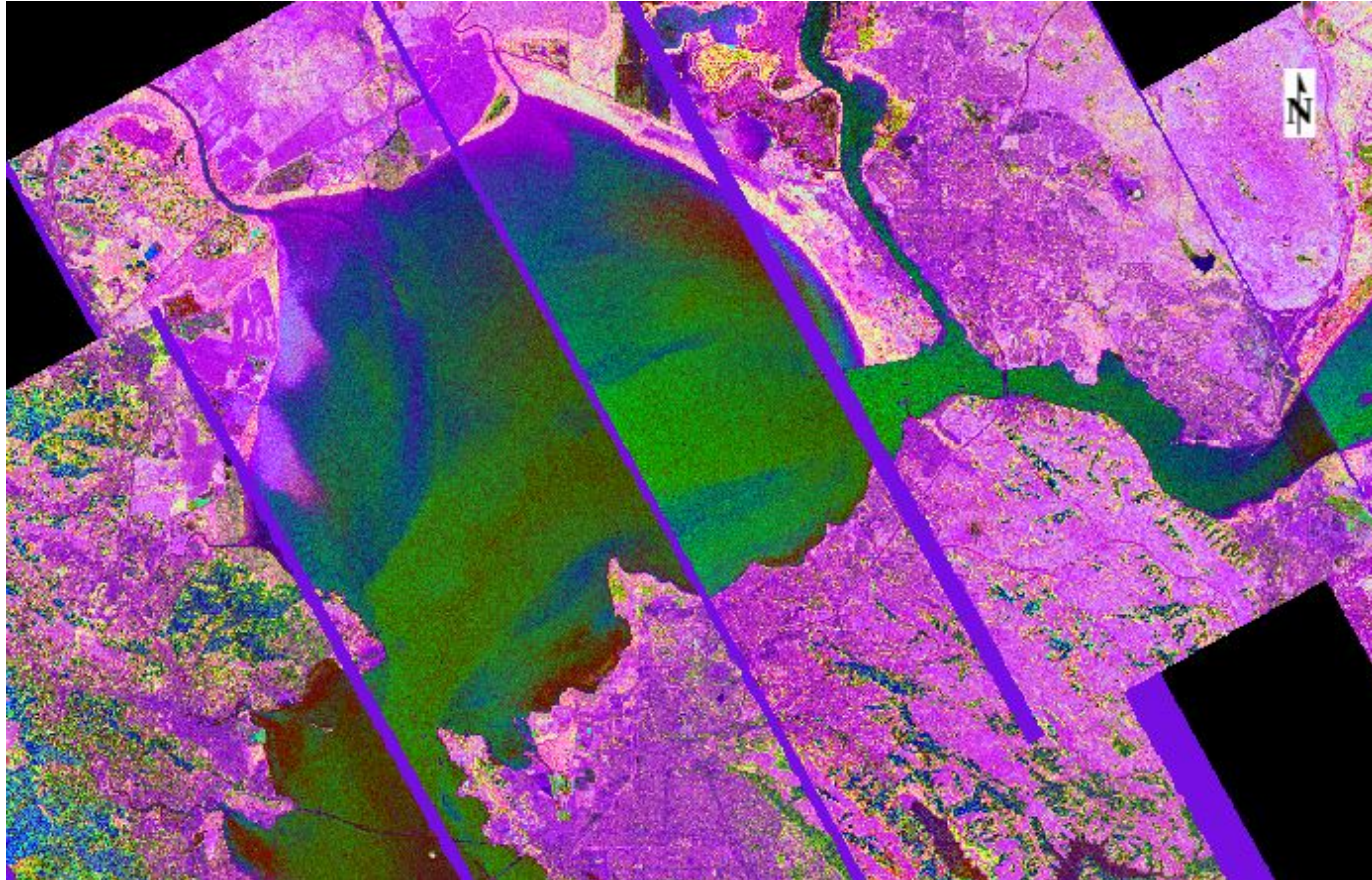
GREEN: Chlorophyte

BLUE: Cyanobacteria

Tyler Dawson  
Sherry Palacios  
HyspIRI Data



# San Pablo Bay, 2015

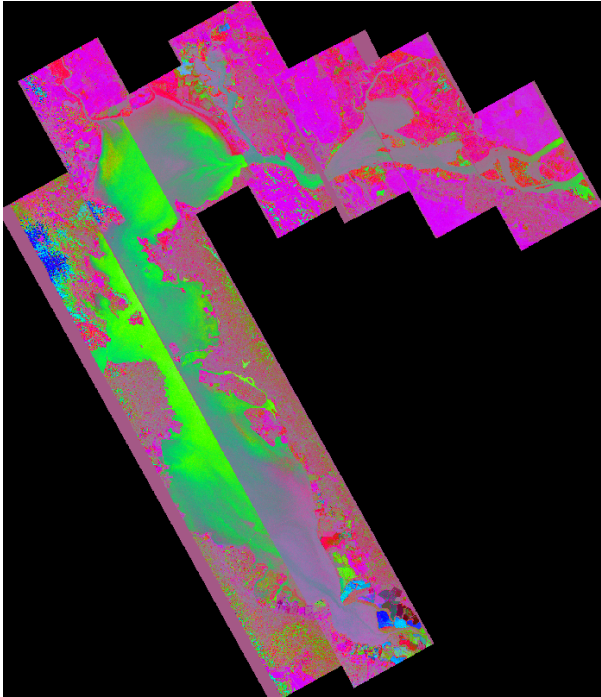
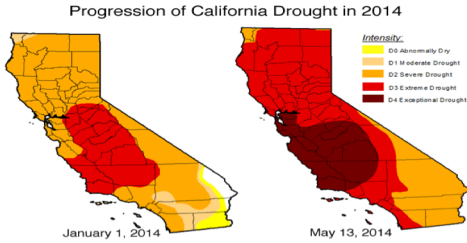
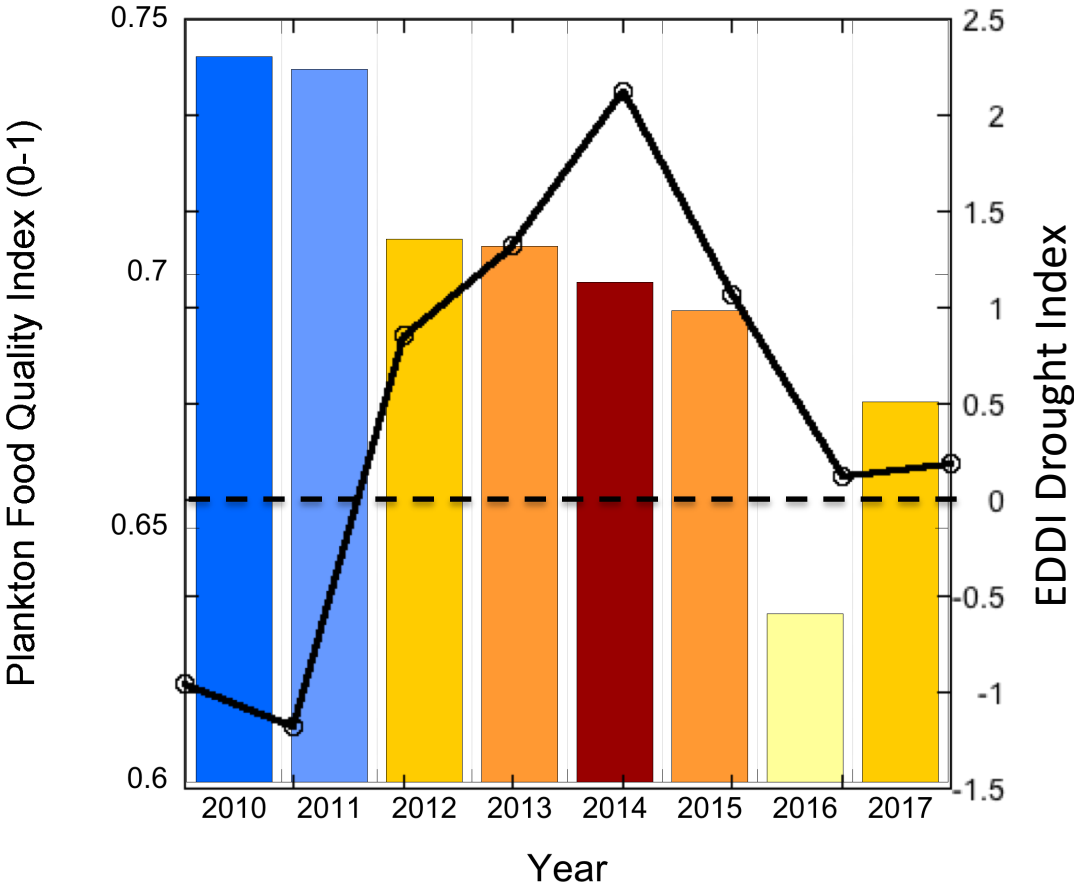


RED: Dinoflagellate

GREEN: Cyanos

BLUE: Chlorophyte

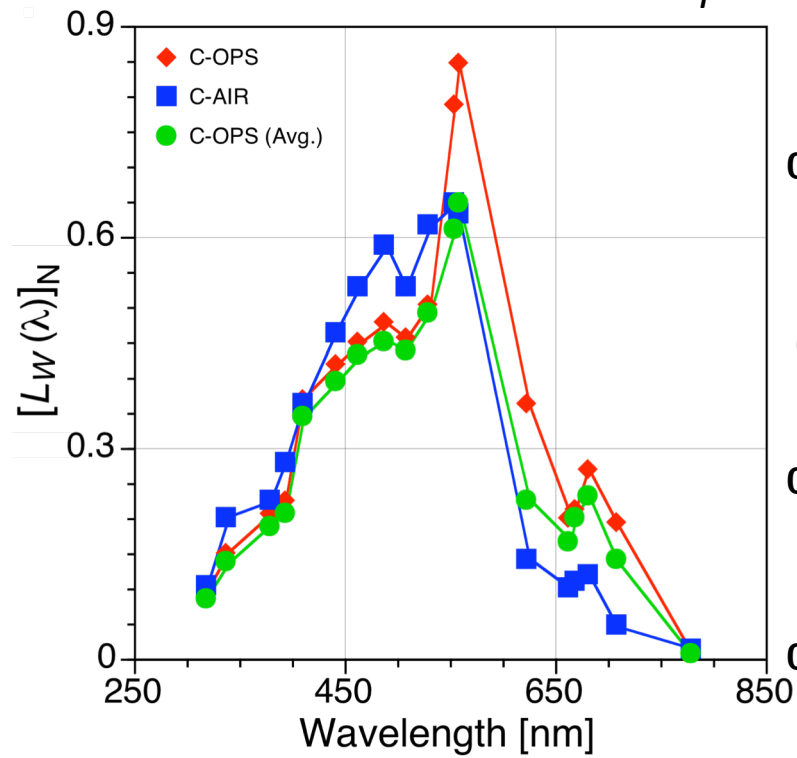
# AVIRIS & Microscopy Track the Drought



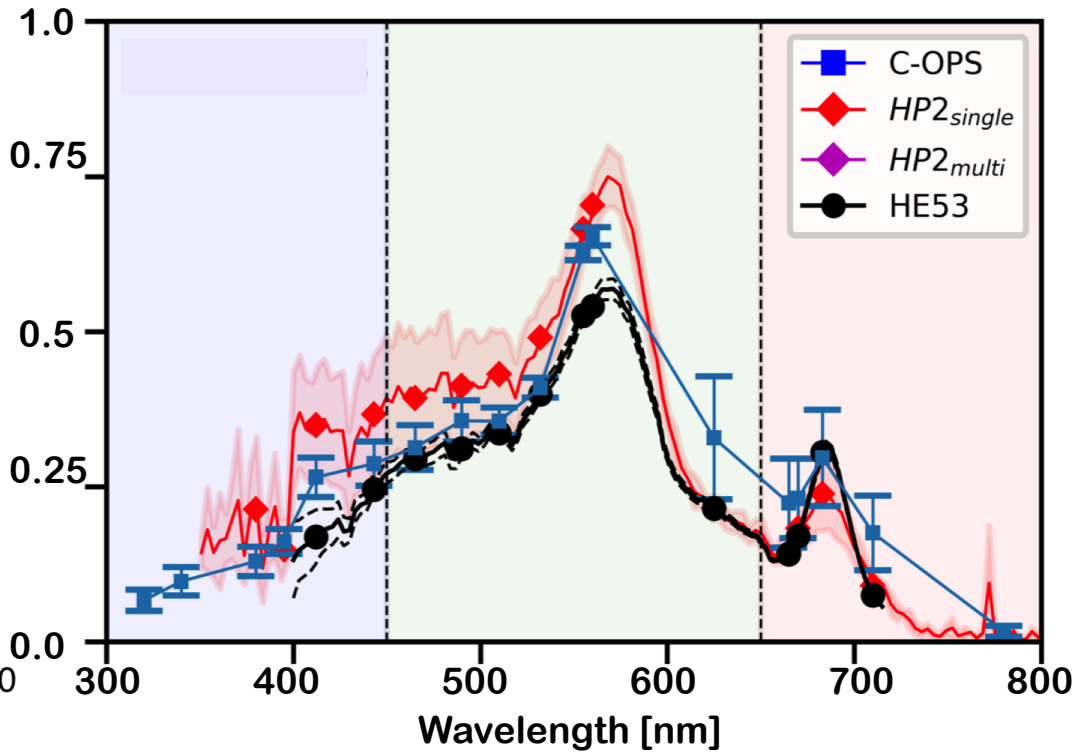
HyspIRI 2016: R=Dinoflagellate,  
G=Cyano, B=Cryptophyte

# Calibration/Validation Requires Measurements at Appropriate Scales!

*Airborne to In-water Matchup*

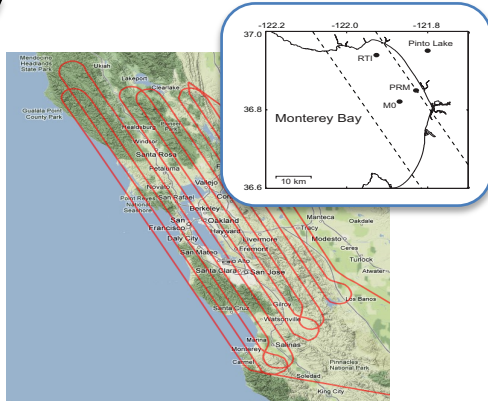


*Multiple In-Water vs. Hydrolight Matchups*

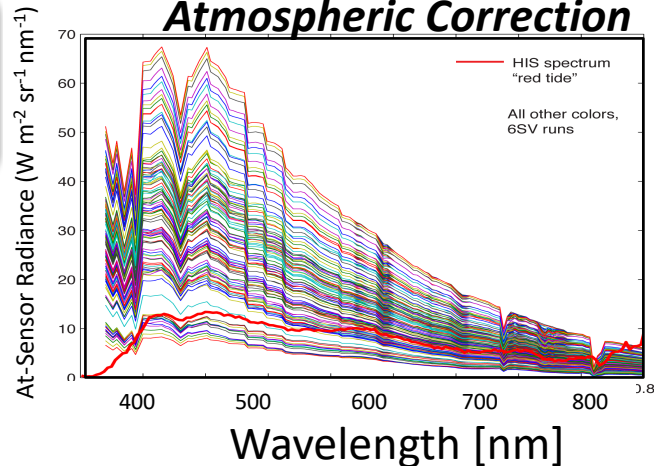
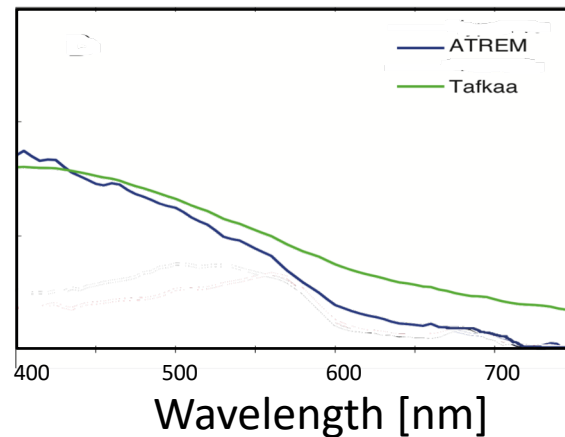
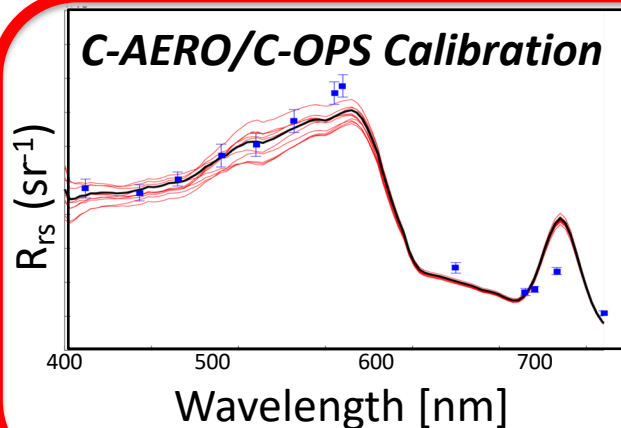
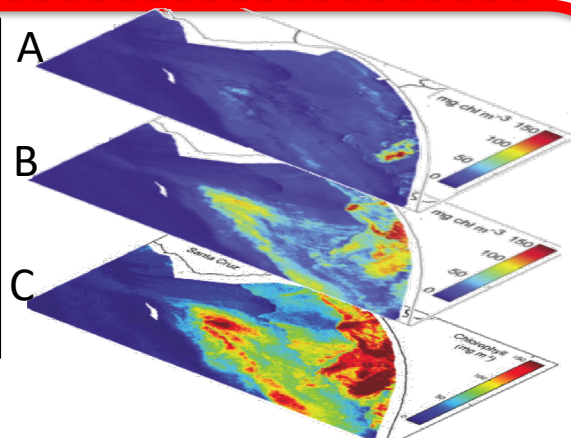
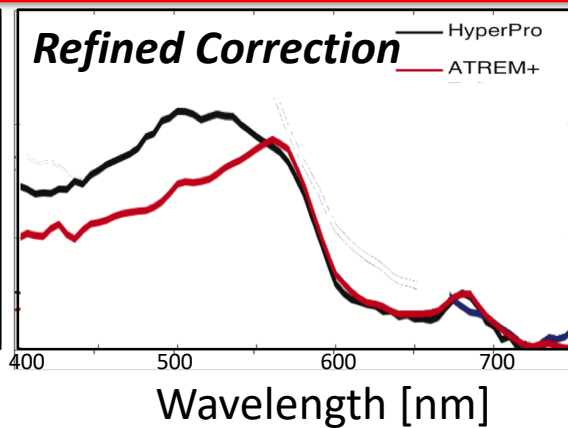


Red Tide conditions, Monterey Bay, CA



**Data Collection**

HyspIRI, 31 Oct 2013

**Unconstrained****Atmospheric Correction****Standard L2 ( $R_{rs}$ )****C-AERO/C-OPS Calibration****Refined Correction**

A=Diatoms B= Dinoflagellates C=Chlorophyll



**Algal Biomass**  
is routinely  
limited to bulk  
pigments



**PFTs**  
(PHYDOTax),  
FQI, Physiology  
provides more  
useful  
information



**50m GSD**  
**380-800 nm @10nm**  
**Dedicated Atmos. Corr.**  
**Return rate of ~5-15 d**  
**Robust cal/val program**

Science Objectives		Scientific Measurement Requirements	Instrument Measurement Requirements	C-SHARP Projected Performance	Logistic/Project Requirements
<p><b>O1: High-resolution spectra obtained by remote sensing will be used to identify Phytoplankton Functional Types (PFTs), or groups, which allows us to determine food quality.</b></p> <ul style="list-style-type: none"> <li>• H1a: Food Quality will increase in San Francisco Bay in response to changes in nutrient load and composition.</li> <li>• H1b: Food Quality will vary in the immediate vicinity of POTW discharge (sources of nutrient pollution).</li> <li>• H1c: PFTs and Food Quality will respond directly to seasonal and interannual forcing, with responses dependent on the specific site and forcing.</li> </ul>	Hyperspectral Signals	<p><b>Signal:</b> Multispectral classification analysis discriminates PFT's and kelp species based on water leaving reflectance spectra</p> <ul style="list-style-type: none"> <li>• Multispectral imagery from aircraft at 20-1000m spatial resolution, bands to match legacy and next generation orbital sensors</li> <li>• Total sea surface radiance (<math>L_o</math>) and nadir sea surface radiance (<math>L_n</math>) at aircraft, bands to match legacy and next generation orbital sensors, high dynamic range</li> </ul>	<p><b>Imaging spectrometer:</b> 380-800nm at 10 nm resolution, Signal to Noise Ratio (SNR) 200:1 at 400 nm, typical offshore ocean water target, 30-60° Field of View (FOV), 50m ground sampling resolution (GSR)</p>	<p><b>PRISM Imaging Spectrometer:</b> 350-1050nm @ 3.5nm FWHM, FOV: 30.7°, SNR: 500:1 for single integration, SNR: 2000:1 @ 450nm with multiple integrations, GSR: 3m @ 3658m MSL, &lt;1% polarization sensitivity (demonstrated in Monterey 2012)</p>	<p><b>General</b></p> <ul style="list-style-type: none"> <li>• Mesoscale satellite retrievals from existing/planned satellites</li> <li>• Time-series from existing observation systems</li> </ul>
	Atmospheric Noise Removal	<p><b>Noise:</b> Significant correction required for atm., ocean surface, and water column scattering/absorb</p> <ul style="list-style-type: none"> <li>• Atmospheric measurements coincident with airborne data for atmospheric correction (aerosol optical depth, column water vapor, total column ozone, aerosol size spectra)</li> </ul>	<p><b>Airborne Sun-Sky Spectrometer:</b> 380-1640nm range at 10 nm resolution (aerosols), 3 nm (gases), SNR 10<sup>5</sup>: &lt;3° FOV, with hemispherical sun tracking and sky scanning</p>	<p><b>4STAR Tracking Sun/Sky Spectrometer:</b> 350-1700nm @2-10 nm FWHM, 1.3-2° FOV, 10<sup>5</sup> dynamic range, &lt;2% radiometric uncertainty (demonstrated in SEA4CRS)</p> <p><b>C-OSPRey Field Sky-Scanning Radiometer:</b> same as C-AIR except 305-1640nm, 3-axis polarizer, sun tracking, thermally stabilized; microradiometers match C-AIR/C-AERO</p>	<p><b>Field Deployments</b></p> <ul style="list-style-type: none"> <li>• ≤14-day deployments, 2-3 seasons, at least 2 years</li> <li>• Research vessel(s) with berthing and sea worthiness for offshore sampling; sea state &lt;5, &lt;3 preferred</li> <li>• Autonomous vehicle deployment at targeted sites for far-field end-members</li> <li>• Airborne transects including (1) long-range (10s km) surface mapping; (2) low- (LSA) and high-altitude profiles for atmospheric correction</li> <li>• Fixed location sun photometry</li> <li>• Sample multiple targets within a flight window</li> </ul>
<p><b>O2: High spatial resolution remote sensing data will be used to map the spatial extent and "health" (as chlorophyll:carbon ratio) of bull and giant kelp, the dominant foundation species in Eastern Boundary Currents</b></p> <ul style="list-style-type: none"> <li>• H2a: Kelp canopy area and health are indicative of ecosystem decline (recovery), and more useful than annual aerial maps; these metrics correlate with environmental drivers</li> </ul>	High Dynamic Range Spectral Data	<p><b>Signal:</b> Very high dynamic range and linearity required to retrieve signal from noise</p> <ul style="list-style-type: none"> <li>• Solar irradiance (<math>E_o</math>) at aircraft, bands to match legacy and next generation orbital sensors, high dynamic range</li> <li>• Sky radiance (<math>L_o</math>) at aircraft, bands to match legacy and next generation orbital sensors</li> </ul>	<p><b>Precision Bandpass Radiometers:</b> match key legacy, existing, and planned ocean color instrument bands, 10 nm resolution, SNR, ≥1000:1, ~2-3° FOV, capable of operating at Lowest Safe Altitude, 10 Hz minimum achieve 50m GSR <math>E_o</math>, <math>L_o</math>, <math>L_i</math> and <math>L_n</math></p>	<p><b>C-AIR:</b> 320-1020nm @10nm FWHM with 1245nm @15 nm, 1640nm @ 30nm, Dynamic Range 10<sup>10</sup>, FOV: 2.5°, Uncertainty ≤3.5%, sampling @ 15 Hz (demonstrated in COAST,OCEANIA, C-HARRIER); <math>L_o</math></p> <p><b>C-AERO:</b> same as C-AIR but with stray light shroud; thermal stabilization; sampling @ 25 Hz (demonstrated in C-HARRIER); <math>E_o</math>, <math>L_o</math>, <math>L_i</math></p>	<p><b>Airborne Requirements</b></p> <ul style="list-style-type: none"> <li>• Clear skies (&lt;25% cloud cover)</li> <li>• Synchronized data acquisition: imaging spectrometer, radiometers, sun photometry, ancillary data</li> <li>• Sun elevation 25-50° (spectrometer) and 25-65° (radiometers)</li> <li>• Flight lines @ LSA and 10,000'</li> <li>• Coordination with satellite overpasses and in situ observations</li> </ul>
	In-Water Validation	<ul style="list-style-type: none"> <li>• In-water measurements of pigments, phytoplankton, &amp; water-leaving radiances</li> <li>• In-water measurements of inherent optical properties (IOPs; absorption; scattering; backscatter ratio) to model remote sensing reflectance, with &lt;25% variance between modeled and measured values</li> <li>• In-water measurements of apparent optical properties (AOPs; downward irradiance; upwelling radiance) at 10 cm (highly turbid/productive water) to 1 m vertical resolution (homogenous water column, coastal to offshore) from 380-800 nm</li> </ul>	<p><b>In-water bandpass radiometers:</b> in-water measurements to constrain atmospheric correction and imaging spectrometer</p> <ul style="list-style-type: none"> <li>• In-water measurement of 6 PFTs by microscopy or pigment analysis, to within 20% error</li> <li>• Standard optical and water sampling at reference stations</li> </ul>	<p><b>C-OPS w/C-PROPS:</b> same as C-AIR except 313-875nm and FOV: 6.4° , 10cm vertical resolution (demonstrated in COAST, OCEANIA, C-HARRIER, MODIS, ACE, &amp; GEO-CAPE)</p> <p><b>C-PHIRE (deployed from SV3):</b> same as C-AIR except 305-900 nm, &lt;1-10 nm, 10<sup>5</sup>-10<sup>10</sup> dynamic range, FOV 6.0°</p> <p><b>WETLabs/HOBILabs IOP Package:</b> 400-750 nm, &lt;10% error in modeled water leaving radiance (using Hydrolight) compared to C-OPS (demonstrated in HyspIRI, C-HARRIER)</p>	<p><b>Sampling Requirements</b></p> <ul style="list-style-type: none"> <li>• In situ sampling of relevant optical, biogeochemical parameters</li> <li>• In situ sampling of IOPs, AOPs, biogeochemical data ±30 minutes of airborne imaging</li> <li>• In situ sampling of kelp CHL:C for each site</li> </ul>
	Spatial/Temporal Distribution	<p><b>Experimental Design</b></p> <ul style="list-style-type: none"> <li>• Study sites encompass critical environmental gradients in nutrients, water quality, and physical forcing (e.g. upwelling, salinity, temperature, particle load, water clarity)</li> <li>• Extrapolation in space/time from validation sites to region using in-water, airborne, and satellite observations, and modeled spectra</li> <li>• All measurements at local/regional, &amp; multi-year event/ seasonal/ inter-annual scales</li> </ul>	<p><b>Functional Requirements</b></p> <ul style="list-style-type: none"> <li>• Airborne observations at Lowest Safe Altitude (LSA) with matching in-water measurements for calibration/validation and atmospheric correction</li> <li>• Field/airborne transects along axis of variability with a spatial scale of ~750 km: inland/onshore/offshore, latitudinal, seasonal</li> </ul>		<p><b>Synthesis</b></p> <ul style="list-style-type: none"> <li>• Data/models to characterize key physical and biogeochemical properties at seasonal and interannual time scales</li> <li>• Central data archive; transfer to relevant repositories (OB.DAAC)</li> <li>• Extrapolation from C-SHARP to other airborne/satellite platforms</li> <li>• Publication/documentation of results</li> </ul>

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